



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT

In re Application of:

Russell Pflueger)

Group Art Unit: 3743)

Serial No. 10/541,255)

Examiner: Unknown)

Filed: March 27, 2006)

For: APPARATUS AND METHODS FOR)
TREATING SLEEP APNEA)

I hereby certify that this correspondence is being
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an envelope addressed to: Commissioner for Patents,
PO Box 1450, Alexandria, VA 22313-1450, on or before

Date

August 8, 2006Alicia Curran

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant wishes to call to the attention of the Examiner the documents cited on the accompanying Form PTO-1449. No concession is made that these documents are prior art, and applicant expressly reserves the right to antedate the documents as may be appropriate. Applicant requests that each of these documents be made of record in the above-identified application.

Respectfully submitted,

Frank J. Uxa
Attorney for Applicant
Reg. No. 25,612
4 Venture, Suite 300
Irvine, CA 92618
(949) 450-1750
Facsimile (949) 450-1764



Sheet 1 of 15

Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: **D-3077 CIP**

Application Number: **10/541,255**

Applicant: **Pflueger**

Filing Date: **3/27/06**

Group Art Unit: **3743**

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	RULING DATE IF APPROPRIATE
	D	4	5	8	6	7	9	06/11/02	Thompson et al.			
	3	9	9	3	0	7	8	11/23/76	Bergentz et al.			
	4	1	9	8	9	6	7	04/22/80	Dror			
	4	3	0	4	2	2	7	12/08/81	Samelson			
	4	5	7	2	1	8	6	02/25/86	Gould et al.			
	4	5	8	0	5	6	8	04/08/86	Gianturco			
	4	6	6	5	9	1	8	05/19/87	Garza et al.			
	4	6	7	6	2	4	0	06/30/87	Gardy			
	4	7	3	2	1	5	2	03/22/88	Wallsten et al.			
	4	7	9	4	9	2	4	01/03/89	Eliachar			
	4	8	2	1	7	1	5	04/18/89	Downing			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
												YES	NO

OTHER DOCUMENTS

EXAMINER

/Clinton Ostrup/

DATE CONSIDERED

01/27/2009

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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Sheet 2 of 15

Form PTO-1449

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U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	RULING DATE IF APPROPRIATE
	4	8	4	8	3	4	3	07/18/89	Wallsten et al.			
	4	8	7	5	4	8	0	10/24/89	Imbert			
	4	9	5	0	2	2	7	08/21/90	Savin et al.			
	5	0	2	6	3	7	7	06/25/91	Burton et al.			
	5	0	3	7	4	2	7	08/06/91	Harada et al.			
	5	0	4	8	5	1	8	09/17/91	Eliachar et al.			
	5	0	6	1	2	7	5	10/29/91	Wallsten et al.			
	5	0	6	4	4	3	5	11/12/91	Porter			
	5	0	7	1	4	0	7	12/10/91	Termin et al.			
	5	0	7	8	7	2	0	01/07/92	Burton et al.			
	5	1	1	7	8	1	6	06/02/92	Shapiro			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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Sheet 3 of 15

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U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	RULING DATE IF APPROPRIATE
	5	1	4	7	3	7	0	09/15/92	McNamara et al.			
	5	2	0	1	7	5	7	04/13/93	Heyn et al.			
	5	3	0	6	2	9	4	04/26/94	Winston et al.			
	5	3	6	0	4	0	1	11/01/94	Turnland			
	5	4	7	4	5	6	3	12/12/95	Myler et al.			
	5	4	7	6	5	0	5	12/19/95	Limon			
	5	4	9	4	0	2	9	02/27/96	Lane et al.			
	5	5	3	4	0	0	7	07/09/96	St. Germain et al.			
	5	5	7	1	1	3	5	11/05/96	Fraser et al.			
	5	6	0	3	6	9	8	02/18/97	Roberts et al.			
	5	6	1	1	3	5	5	03/18/97	Hilsen			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
												YES	ND

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EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	RULING DATE IF APPROPRIATE
	5	6	3	2	7	7	1	05/27/97	Boatman et al.			
	5	6	4	2	7	3	7	07/01/97	Parks			
	5	6	4	3	3	0	9	07/01/97	Myler et al.			
	5	6	4	9	5	4	0	07/22/97	Alvarez et al.			
	5	6	6	4	5	6	7	09/09/97	Linder			
	5	6	8	2	9	0	3	11/04/97	Meade			
	5	6	8	3	4	5	1	11/04/97	Lenker et al.			
	5	7	0	9	7	0	3	01/20/98	Lukic et al.			
	5	7	1	8	2	2	4	02/17/98	Muchin			
	5	7	3	8	1	1	4	04/14/98	Edwards			
	5	7	7	2	6	6	8	06/30/98	Summers et al.			

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	5	7	7	6	1	4	2	07/07/98	Gunderson			
	5	7	9	1	3	4	1	08/11/98	Bullard			
	5	7	9	7	9	5	2	08/25/98	Klein			
	5	8	0	0	3	7	9	09/01/98	Edwards			
	5	8	1	5	9	0	4	10/06/98	Clubb et al.			
	5	8	3	6	9	6	6	11/17/98	St. Germain			
	5	8	6	8	1	3	8	02/09/99	Halstrom			
	5	8	9	3	3	6	5	04/13/99	Anderson			
	5	9	1	1	7	5	2	06/15/99	Dustrude et al.			
	5	9	5	0	6	2	4	09/14/99	Hart			
	5	9	5	4	0	5	0	09/21/99	Christopher			

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	5	9	7	0	9	7	5	10/26/99	Estes et al.			
	5	9	8	0	5	3	3	11/09/99	Holman			
	5	9	8	8	1	7	0	11/23/99	Thomas			
	6	0	0	4	3	4	2	12/21/99	Filis			
	6	0	1	9	7	7	9	02/01/00	Thorud et al.			
	6	0	2	2	3	4	3	02/08/00	Johnson et al.			
	6	0	2	7	5	1	6	02/22/00	Kolobow et al.			
	6	0	2	7	8	6	3	02/22/00	Donadio III			
	6	0	3	3	3	9	4	03/07/00	Vidlund et al.			
	6	0	5	8	9	3	1	05/09/00	Muchin			
	6	0	9	0	1	1	5	07/18/00	Beyar et al.			

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	6	0	9	2	5	2	3	07/25/00	Belfer			
	6	0	9	8	6	1	6	08/08/00	Lundy Jr. et al.			
	6	1	0	6	5	4	1	08/22/00	Hurbis			
	6	1	1	0	1	6	4	08/29/00	Vidlund			
	6	1	2	3	0	8	2	09/26/00	Berthon-Jones			
	6	1	2	6	6	1	1	10/03/00	Bourgeois et al.			
	6	1	2	6	6	5	7	10/03/00	Edwards			
	6	1	2	9	0	8	4	10/10/00	Bergersen			
	6	1	3	2	4	6	0	10/17/00	Thompson			
	6	1	3	2	4	6	1	10/17/00	Thompson			
	6	1	6	1	5	4	1	12/19/00	Woodson			

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	6	1	6	1	5	4	2	12/19/00	Halstrom			
	6	1	7	1	3	1	4	01/09/01	Rotramel			
	6	1	8	3	4	9	3	02/06/01	Zammit			
	6	2	3	8	4	1	1	05/29/01	Thorner			
	6	2	3	8	4	3	0	05/29/01	Klumb et al.			
	6	2	4	8	1	2	2	06/19/01	Klumb et al.			
	6	2	5	0	3	0	7	06/26/01	Conrad et al.			
	6	2	5	4	6	3	1	07/03/01	Thompson			
	6	2	5	7	2	3	6	07/10/01	Dutkiewicz			
	6	2	7	0	5	1	2	08/07/01	Rittmann			
	6	2	7	3	8	7	6	08/14/01	Klima et al.			

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	6	3	0	5	3	7	6	10/23/01	Thomton			
	6	3	2	5	0	6	4	12/04/01	Thomton			
	6	3	2	8	7	5	3	12/11/01	Zammit			
	6	3	2	9	3	5	2	12/11/01	Meyer et al.			
	6	3	4	5	2	0	2	02/05/02	Richmond et al.			
	6	3	5	8	2	7	4	03/19/02	Thompson			
	6	3	6	3	9	3	5	04/02/02	Boussignac			
	6	3	7	1	1	1	2	04/16/02	Bibi			
	6	3	7	1	9	5	3	04/16/02	Beyar et al.			
	6	3	7	1	9	7	9	04/16/02	Beyar et al.			
	6	3	7	4	8	2	4	04/23/02	Thomton			

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U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	RULING DATE IF APPROPRIATE
	6	3	7	9	3	1	1	04/30/02	Gaumont et al.			
	6	3	8	6	1	9	9	05/14/02	Alfery			
	6	3	9	0	0	9	6	05/21/02	Conrad et al.			
	6	4	0	1	7	1	7	06/11/02	Conrad et al.			
	6	4	0	8	8	5	2	06/25/02	Tielemans			
	6	4	1	9	6	4	1	07/16/02	Mark et al.			
	6	4	2	7	6	8	6	08/06/02	Augustine et al.			
	6	4	5	0	1	6	9	09/17/02	Conrad et al.			
	6	4	7	4	3	3	9	11/05/02	Grosbois et al.			
	6	4	8	5	5	2	4	11/26/02	Strecker			
	6	4	8	8	7	0	0	12/03/02	Klumb et al.			

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DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
												YES	NO

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,415,796	7/2002	CONRAD ET AL			
	6,431,174	8/2002	KNUDSON ET AL			
	6,453,905	9/2002	CONRAD ET AL			
	6,502,574	1/2003	STEVENS ET AL			
	6,513,530	2/2003	KNUDSON ET AL			
	6,513,531	2/2003	KNUDSON ET AL			
	6,516,806	2/2003	KNUDSON ET AL			
	6,523,541	2/2003	KNUDSON ET AL			
	6,523,542	2/2003	KNUDSON ET AL			
	6,523,543	2/2003	CONRAD ET AL			
	6,546,936	4/2003	KNUDSON ET AL			
	6,578,580	6/2003	CONRAD ET AL			
	6,607,584	8/5/2003	KNUDSON ET AL			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	199 20 114 A1	5/1999	GERMANY (Eng Translation)				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AA	
AB	
AC	
AD	
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AF	
AG	
AH	

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			Filing Date: 3/27/06				
U. S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6,601,585	8/2003	CONRAD ET AL				
	6,626,181	9/2003	KNUDSON ET AL				
	6,634,362	10/2003	CONRAD ET AL				
	6,636,767	10/2003	KNUDSON ET AL				
	6,742,524	6/2004	KNUDSON ET AL				
	US 2003/0149445 A1	8/2003	KNUDSON ET AL				
	US 2003/0149488 A1	8/2003	METZGER ET AL				
	US 2004/0172054 A1	9/2004	METZGER ET AL				
	5,176,618	1/1993	FREEDMAN				
	5,979,456	11/1999	MAGOVERN				
	6,447,522	9/2002	GAMBALE ET AL				
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
EXAMINER /Clinton Ostrup/			DATE CONSIDERED 01/27/2009				
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U. S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,998,209	12/1976	Macvaugh			
	4,803,075	02/1989	Wallace et al.			
	4,830,008	05/1989	Meer			
	4,978,323	12/1990	Freedman			
	5,046,512	09/1991	Murchie			
	5,052,409	10/1991	Tepper			
	5,133,354	07/1992	Kallok			
	5,178,156	01/1993	Takishima et al.			
	5,190,053	03/1993	Meer			
	5,204,382	04/1993	Wallace et al.			
	5,258,028	11/1993	Ersek et al.			
	5,281,219	01/1994	Kallok			
	5,284,161	02/1994	Karell			
	5,451,406	09/1995	Lawin et al.			
	5,456,662	10/1995	Edwards et al.			
	5,514,131	05/1996	Edwards et al.			
	5,540,733	07/1996	Testerman et al.			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
	WO 00/59398	10/2000	International			
	WO 01/19301	03/2001	International			
	WO 01/23030	05/2001	International			
	0 292 936 A2	11/1988	European			
	0 700 808 A1	04/1996	European			
	SU 1553140 A1	03/1990	Russia			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
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Group Art Unit: 3743

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,582,184	12/1996	Erickson et al.			
	5,591,216	01/1997	Testerman et al.			
	5,699,377	09/1997	Fenn			
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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

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Form PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
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Docket No.: D-3077CIP

Application No.: 10/541,255

Applicant: Pflueger

Filing Date: 3/27/06

Group Art Unit: 3743

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						YES	NO

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	AA	
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